CERTIFICATE OF EFS FILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being electronically transmitted to the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below of the United States Palent Office, Commissioner for Patents Palent Office, Commissioner for Patent Office, Commissioner for Pate

Date: February 11, 2008 Name: Kent E. Genin, Reg. No. 37,834 Signature:

February 11, 2008

Date

BRINKS HOFER GILSON &LIONE

nd Trademark

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  | 111 1111  | OTHIL                  | D DITE.    |                        | 112111 11        | 110 110    |                             | . LIL  | OI I I C           |              |
|--|---|------------------------|------------|------------------------|------------------|------------|-----------------------------|--------|--------------------|--------------|
| In re  | Appln. of: Ro   | ss G. Kar              | ninsky e   | t al.                  |                  |            |                             |        |                    |              |
| Appl   | n. No.: 09/475,534  |                        |            |                        |                  |            | Examiner: Andrew Joseph Ruc |        |                    |              |
| Filed  | December 30, 1999   |                        |            |                        |                  |            | Art Unit: 3627              |        |                    |              |
| For:   | AUTOMATED TRADING EXCHANGE SYSTEM HAVING INTEGRATED QUOTE RISK MONITORING AND INTEGRATED QUOTE MODIFICATION SERVICES  Conf. No.: 6464 |                        |            |                        |                  |            |                             |        |                    |              |
| Attorney Docket No: 11927/88                     |   |                        |            |                        |                  |            |                             |        |                    |              |
|  |   |                        |            | TDAN                   | ISMITT           | 'A1        |                             |        |                    |              |
| Comm<br>P. O. E                                  | op Issue Fee<br>issioner for Paten<br>3ox 1450<br>dria, VA 22313-1  |                        |            | ·                      | COMITT           | <b>AL</b>  |                             |        |                    |              |
| Sir:   |   |                        |            |                        |                  |            |                             |        |                    |              |
| Attach   | ed is/are:  |                        |            |                        |                  |            |                             |        |                    |              |
|  | lssue Fee Transm<br>(7sheets).  | ittal (1 pg.           | in dupl.); | Submissio              | n of Repla       | ce Drawin  | gs (1 sheet)                | ; and  | Replace            | ment Drawing |
| Fee ca   | lculation:  |                        |            |                        |                  |            |                             |        |                    |              |
|  | No additional fee   | is required.           |            |                        |                  |            |                             |        |                    |              |
|  | Small Entity.   |                        |            |                        |                  |            |                             |        |                    |              |
|  | An extension fee in an amount of \$ for amonth extension of time under 37 CFR § 1.136(a).   |                        |            |                        |                  |            |                             |        |                    |              |
|  | A petition or processing fee in an amount of \$ under 37 CFR § 1.17().  |                        |            |                        |                  |            |                             |        |                    |              |
|  | An additional filing  | fee has b              | een calcul | ated as sh             | nown below       | v:         |                             |        |                    |              |
|  |   |                        | ,          |                        |                  | Sm         | Small Entity                |        | Not a Small Entity |              |
|  | Claims Remain   |                        |            | est No.<br>ly Paid For | Present<br>Extra | Rate       | Add'l Fee                   | OR     | Pata               | Add'l Fee    |
| Fotal  | Alter Amendme   | Minus                  | Fievious   | y Faid For             | LAUA             | x \$25     |                             | OK     | Rate<br>x \$50=    | Audiree      |
| ndep.  |   | Minus                  |            |                        |                  | x 105      | <u> </u>                    |        | x \$210=           |              |
| First Presentation of Multiple Dep. Claim +\$185 |   |                        |            |                        |                  |            |                             |        | + \$370=           |              |
|  |   |                        |            |                        |                  | Tota       | \$                          |        | Total              | \$           |
| ee pa  | yment:  |                        |            |                        |                  |            |                             |        |                    |              |
|  | Please charge Deposit Account No. 23-1925 in the amount of \$1,440.00.  |                        |            |                        |                  |            |                             |        |                    |              |
|  | Payment by credit   | card in the            | amount o   | of \$                  | (Form PTC        | 0-2038 is  | attached).                  |        |                    |              |
| ;<br>(   | The Director is he<br>and any patent a<br>extension fee req<br>Account No. 23-19  | oplication puired to e | processing | fees und               | ler 37 CFF       | R § 1.17 a | ssociate/d v                | vith t | his papeı          | (including a |